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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/706,452	11/12/2003	Dominic Cloccarelli	50006076-2	8000	
	7590 01/04/2007 CKARD COMPANY		EXAM	EXAMINER	
Intellectual Property Administration P.O. Box 272400 Fort Collins, CO 80527-2400		·	SEYE, ABDOU K		
			ART UNIT	PAPER NUMBER	
			2194		
	<del></del>	·			
SHORTENED STATUTORY	PERIOD OF RESPONSE	MAIL DATE	DELIVER	DELIVERY MODE	
3 MONTHS		01/04/2007	PAF	PAPER	

# Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

	Application No.	Applicant(s)				
	10/706,452	CLOCCARELLI, DOMINIC				
Office Action Summary	Examiner	Art Unit				
	Abdou Karim Seye	2194				
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address				
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION  16(a). In no event, however, may a reply be time  rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on 11/12	2/2003.					
	action is non-final.					
•—						
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4)⊠ Claim(s) <u>1-28</u> is/are pending in the application.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-4, 16-21 and 23-26</u> is/are rejected.						
7)⊠ Claim(s) <u>5-15, 22 and 27-28</u> is/are objected to.						
8) Claim(s) are subject to restriction and/or	r election requirement.					
Application Papers						
9) The specification is objected to by the Examine	г.					
10)⊠ The drawing(s) filed on <u>11/12/2003</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner.						
Applicant may not request that any objection to the	drawing(s) be held in abeyance. See	∋ 37 CFR 1.85(a).				
Replacement drawing sheet(s) including the correct	ion is required if the drawing(s) is ob	jected to. See 37 CFR 1.121(d).				
11)☐ The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.				
Priority under 35 U.S.C. § 119						
12)⊠ Acknowledgment is made of a claim for foreign a) ☐ All b) ☐ Some * c) ☐ None of:	priority under 35 U.S.C. § 119(a)	)-(d) or (f)				
1.⊠ Certified copies of the priority documents have been received.						
2. Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the prior	ity documents have been receive	ed in this National Stage				
application from the International Bureau	ı (PCT Rule 17.2(a)).					
* See the attached detailed Office action for a list	of the certified copies not receive	ed.				
	C	LLIAM THOMSON LLIAM THOMSON SOFOX4PSTENT EXAMINER Patent Application				
Attachment(s)	_ \N\	LLIAM THUME EXAMINE				
Notice of References Cited (PTO-892)     Notice of Draftsperson's Patent Drawing Review (PTO-948)	4) LInterview Summan Paper No (வரிவி)	3 <b>276</b> 3473)				
2) Notice of Draitsperson's Patent Drawing Review (P10-946) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 04/04/2005.	5) Notice of Informal P	ratent Application				

#### **DETAILED ACTION**

1. This is the initial office action based on the application filed on November 12, 2003. Claims 1-28 are currently pending and have been considered below.

### Claim Objections

2. Claims 5-6, 8,12,13,14,22,27 are objected to under 37 CFR 1.75(c) as being in improper form because a multiple dependent claim cannot depend from any other multiple dependent claim. See MPEP § 608.01(n). Accordingly, the claims 5-15, 22 and 27-28 have not been further treated on the merits.

Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper form.

### Claim Rejections - 35 USC § 101

3. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefore, subject to the conditions and requirements of this title.

Claim 26 is non statutory. In view of Applicant's disclosure, specification, the means for receiving and creating that constitute the claimed client is constructed of software program instructions. Thus, the claimed client considered a software program containing machine-executable instructions, per se (and not associated with any

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physical structure). See MPEP 2106.01 - I: "...computer programs claimed as computer listings per se, i.e., the descriptions or expressions of the programs, are not physical "things." They are neither computer components nor statutory processes, as they are not "acts" being performed. Such claimed computer programs do not define any structural and functional interrelationships between the computer program and other claimed elements of a computer which permit the computer program's functionality to be realized... ".

Appropriate change is required.

## Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 5. Claims 1-4, 16-17, 19-21 and 23-26 are rejected under 35 U.S.C. 102(e) as being anticipated by Burton et al. (US20030115379).

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Claims 1, 19-21 and 23-24: <u>Burton</u> discloses a remote object invocation system and method for invoking a method of a remote object; comprising the steps of:

- a. Producing remote object data associated with the remote object (fig. 1/14a-c, paragraph 24 and 25);
- b. Interpretatively establishing a proxy object using the remote object data; the proxy object bearing an associated proxy method corresponding to the method of remote object (paragraph 25);
- c. Invoking, in response to an action of client software, the proxy object method (paragraph 25);
- d.Conveying invocation data associated with the invocation of the proxy method to the remote object (paragraph 25);
- e. Invoking, in response to the invocation data, the method of the remote object (paragraph 25); and
- f. Returning invocation result data to the client software via the proxy object (fig.1, paragraph 4);

Claims 2 and 25:<u>Burton</u> further discloses that producing the remote object data comprises the step of introspecting the remote object to produce introspection data and in which the remote object method data comprises the introspection data produced by said introspecting; reflection (paragraph 49).

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Claim 3: Burton further discloses a step of creating an object descriptor for the remote

object (fig. 2, paragraph 27).

Claim 4: Burton further discloses that the step of creating the object descriptor for the

remote object comprises the step of storing the object descriptor in a cache for later

retrieval; the later retrieval being responsive to a request to create an instance of the

remote object (fig. 2, paragraph 27 and 28).

Claim 16: see rejection as in claim 1 and 2 above.

Claim 17: see rejection as in claim 1 and 2 above.

### Claim Rejections - 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103 (a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 7. Claim 18 is rejected under 35 U.S.C. 103 (a) as being unpatentable over <u>Burton et</u>
- <u>al.</u> (US 20030115379) in view of <u>Hayie et al</u> (US 20060036448).

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Claim 18: <u>Burton</u> discloses the step of invoking a remote object as in claim 17, but he does not explicitly discloses using XML and HTTP protocol for mapping remote objects to the user or client caller. However, in the same field of endeavor <u>Haynie discloses</u> an embodiment of a business logic written in java.TM. that includes the mapping of the remote data object to client caller controlled by HTTP and XML within an SOAP server (paragraph 106, 70 and 71). It would be obvious to one having ordinary skill in the art at the time the invention was made to modify <u>Burton's</u> invention with <u>Hynie's</u> invention in order to increase the response time of a client accessing remote data on a server. One would have been motivated to use XML and HTTP mapping protocol in order to gain performance on trading transactions within a distributed computer network system.

## Conclusion

8. The prior art made of record and not relied upon is considered pertinent to the applicant's disclosure.

<u>Glass</u> (6993774) discloses a system and method for remote enabling classes without interfaces.

Guthrie et al. (6549955) discloses a system and method for dynamic generation of remote proxies.

Wollrath et al. (6487607) discloses methods and apparatus for remote method invocation.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Exr. Abdou Seye whose telephone number is (571) 270-1062. The examiner can normally be reached Monday through Friday from 7:30 a.m. to 4:00 p.m.

If attempts to reach the examiner by telephone are unsuccessful, contact the examiner's supervisor, William Thomson at (571) 272-3718. The fax phone number for formal or official faxes to Technology Center 3600 is (571) 273-8300. Draft or informal faxes, which will not be entered in the application, may be submitted directly to the examiner at (571) 273-6722.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group Receptionist whose telephone number is (571) 272-3600.

WILLIAM THOMS EXAMINER

AKS December 20,2006 William Thomson
Supervisory Patent Examiner